

4-1-1 PTO 08 MAR 2000

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE (REV 5-93)
TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
017227/0152

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/462,909

INTERNATIONAL APPLICATION NO. **PCT/CN98/00102**

INTERNATIONAL FILING DATE
26 June 1998

PRIORITY DATE CLAIMED

28 June 1997

TITLE OF INVENTION
3'-MONOPHOSPHORYLATING OLIGONUCLEOTIDES
APPLICANT(S) FOR DO/EO/US
Chang-De LU, Min ZHUANG and Yu-Lei XIA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371 (c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
 11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ Other items or information: Verified Statement Claiming Small Entity (2 pgs.) and PCT/DO/EO/905 Form

03/14/2000 UWALKER 00000023 09462909

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65.00 DP

APPLICATION NO. (If known, see 37 C.F.R. 1.50)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
09/446,804	PCT/CN98/00102	017227/0152
		CALCULATIONS PTO USE ONLY

17. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):
 Search Report has been prepared by the EPO or JPO \$840.00
 International preliminary examination fee paid to USPTO (37 CFR 1.482)
 \$670.00
 No international preliminary examination fee paid to USPTO (37 CFR 1.482)
 but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00
 Neither international preliminary examination fee (37 CFR 1.482) nor
 international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
 and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00
 ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
 months from the earliest claimed priority date (37 CFR 1.492(e))

Claims	Number Filed	Number Extra	Rate		
Total Claims	-20 =		X \$18.00	\$ 0.00	
Independent Claims	-3 =		X \$78.00	\$ 0.00	
			+ \$260.00	\$ 0.00	
Multiple dependent claim(s) (if applicable)				\$ 130.00	

TOTAL OF ABOVE CALCULATIONS =

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity
 statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL =

Processing fee of \$130.00 for furnishing English translation later the ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(f). +

TOTAL NATIONAL FEE =


Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must
 be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per
 property +

TOTAL FEES ENCLOSED =

Amount to be:
 Refunded \$
 Charged \$

- a. ☒ A check in the amount of \$65.00 to cover the above fees is enclosed.
 b. ☐ Please charge my Deposit Account No. 19-0741 in the amount of \$ to the above fees. A duplicate copy of this
 sheet is enclosed.
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.
 NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

send all correspondence to:
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 3000 K Street, N.W., Suite 500
 P.O. Box 25696
 Washington, D.C. 20007-8696


 STEPHEN A. BENT
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